

# Chinese herbal medicine for acute upper respiratory tract infections and reproductive safety: A systematic review

Zengshu Huang<sup>1,2,3,§</sup>, Xinyao Pan<sup>1,2,3,§</sup>, Jing Zhou<sup>1,2,3</sup>, Wing Ting Leung<sup>1,2,3</sup>, Chuyu Li<sup>1,2,3</sup>, Ling Wang<sup>1,2,3,\*</sup>

<sup>1</sup>Laboratory for Reproductive Immunology, Hospital & Institute of Obstetrics and Gynecology, Shanghai Medical College, Fudan University, Shanghai, China;

<sup>2</sup>The Academy of Integrative Medicine, Fudan University, Shanghai, China;

<sup>3</sup>Shanghai Key Laboratory of Female Reproductive Endocrine-related Diseases, Shanghai, China.

## Summary

Acute upper respiratory tract infections (AURTIs) are common and self-limited in people with normal immunity but sometimes lead to poor clinical outcomes under specific conditions such as pregnancy if not treated appropriately. Chinese herbal medicines (CHM), which are widely used to treat AURTIs, have proven to be effective in preclinical and clinical studies. This review focuses on the bioactivities of typical CHM and the adverse reactions they cause, and especially issues with reproductive safety when treating AURTIs. The main mechanisms for clinical efficacy may include anti-viral, anti-bacterial, anti-inflammatory, antipyretic, and immunomodulatory action as indicated by preclinical evidence. Most clinical trials indicate that CHM shortens the natural course of AURTIs and that it relieves related symptoms such as a fever, headaches, coughing, myalgia, a cold, sore throat, and a nasal obstruction. However, some CHM have a range of adverse effects and potentially affect reproduction from endocrinal secretion to embryo development while others do not. Therefore, clinical adverse reactions and preclinical studies on the toxicity of CHM are discussed. More reliable evidence is required to conclude that CHM are efficacious and safe for pregnant women with AURTIs. This review should help to promote advances in the research on and development of CHM as alternative treatments for AURTIs and offer insight into strategies to manage the safety of CHM during clinical use.

**Keywords:** Chinese herbal medicine, acute upper respiratory tract infections, efficacy, reproductive safety, mechanism

## 1. Introduction

Acute upper respiratory tract infections (AURTIs) refer to infections that involve tonsillitis, pharyngitis, laryngitis, sinusitis, otitis media, and the common cold. Viruses are the pathogens that most often cause AURTIs, while bacteria, fungi, and helminths are far less common. Human rhinoviruses are the

most prominent causes (1), but other viruses such as adenovirus and influenza virus and bacteria have also been implicated. Symptoms manifest as sneezing, nasal congestion, a sore throat, coughing, a fever, and headaches, with varying degrees of severity. Most AURTIs are mild and self-limited. Occasionally, they can lead to poor clinical outcomes, and their complications may threaten the health of the infected. Severe consequences tend to occur in people with a specific level of immunity, such as pregnancy.

Pregnant women with AURTIs usually face more problems such as a higher risk of severe clinical outcomes and more limitations on medication they can take. Western medicines are commonly used to treat pregnant women with AURTIs, but there are questions about their safety due to adverse reactions. Ibuprofen

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<sup>§</sup>These authors contributed equally to this work.

\*Address correspondence to:

Dr. Ling Wang, Obstetrics & Gynecology Hospital of Fudan University, 419 Fangxie Road, Shanghai 200011, China.

E-mail: Dr.wangling@fudan.edu.cn

is a popular fever and pain reliever but contraindicated in pregnant women (2). Potential fetal defects prevent doctors from prescribing dextromethorphan, an antitussive component added to common cold medications, to pregnant women. Perceiving Chinese herbal medicines (CHM) to be less toxic, pregnant women in China tend to choose CHM when given the choice between Western medicine and CHM. According to China: 2015 Expert consensus on the standardization of common cold medicines for special populations, CHM are largely marketed as over-the-counter medicines (2). Having analyzed a total of 33 Chinese patent medicines (CPMs) listed in the 2012 China National Essential Drug List for the treatment of the common cold, Chen *et al.* concluded that CPMs had a potential positive effect on the cure rate of the common cold (3). Numerous clinical trials have indicated that CHM are efficacious in treating AURTIs, but their mechanisms of action and the adverse reactions they cause remain unclear. Greater efficacy and unknown toxicity underscore the significance of proper use of CHM in light of special situations such as pregnancy.

This review describes well-known herbs and traditional Chinese herbal preparations, it explains their main role in treating AURTIs, and it summarizes advances in the study of their efficacy and safety, and especially in terms of reproductive safety.

## 2. Mechanisms by which CHM treat AURTIs

### 2.1. Anti-viral action

The viral replication cycle includes attachment, penetration, transcription, protein synthesis, assembly, and release (4). These steps provide strategies to screen for CHM that prevent or control infections of the respiratory tract with viruses (Table 1). As an example, researchers searching for influenza neuraminidase (NA) inhibitors found that *Forsythiae Fructus*, *Lonicera japonica*, and *Scutellaria baicalensis* displayed exceptional performance (5,6). *Radix Isatidis* inhibits the hemagglutinin (HA) of the influenza virus in the early stages (7) and then retains the influenza viral ribonucleoprotein (vRNP) complex in the nucleus (8), thereby blocking viral replication.

### 2.2. Anti-bacterial action

CHM tends to act to prevent bacterial growth or to reduce the possibility of sepsis. The mechanisms are complicated and unclear but probably relate to microbial enzymes or other interactions that inactivate microbial adhesins. As an example, phenolic compounds of *Lonicera japonica* have bacteriostatic activity (9) that affects a broad spectrum of bacteria, including *Bacillus cereus* and *Staphylococcus aureus*

(10), by damaging the cytoplasmic membrane.

### 2.3. Anti-inflammatory action

Symptoms of AURTIs are associated with viral replication and the related cytokine cascade response. In addition to direct antiviral action, CHMs often target multiple components of the inflammatory response to decrease production of pro-inflammatory mediators and recruitment of leukocytes. *Lonicera japonica* attenuates the expression of TNF- $\alpha$ , IL-6, and iNOS via down-regulation of nuclear factor- $\kappa$ B (NF- $\kappa$ B) pathways, and it enhances the expression of IL-10 by increasing Sp1 phosphorylation (11). *Forsythiae Fructus* suppresses the activation of mitogen-activated protein kinase (MAPK) and NF- $\kappa$ B pathways to decrease the production of TNF- $\alpha$ , IL-1 $\beta$ , IL-6, and myeloperoxidase (MPO) (12). *Flos Lonicera*, *Forsythia Fructus*, and *Radix Platycodon* combinations have synergistic anti-inflammatory action by alleviating pathological changes in the respiratory system and by reducing inflammatory cytokines in bronchoalveolar lavage fluid (BALF) (13).

### 2.4. Antipyretic action

A fever, a common feature of infectious diseases, serves as a defense response to pathogens as well as injuries to vital organs. The mechanism of fever onset is acknowledged to be sequential production of complement and prostaglandin E2 (PGE<sub>2</sub>), followed by the subsequent transfer of pyrogenic cytokines peripherally and centrally (14). The efficacy of volatile oils, crucial to the treatment of fever with *Radix Bupleuri*, has been verified in a rat model of fever. This action might be associated with declining cAMP in the hypothalamus and arginine vasopressin (AVP) in the ventral septal area (15). Interestingly, another well-known antipyretic herb, *Folium Mori*, has potent synergistic action by relieving fever and inflammation when combined with *Flos Chrysanthemi* in a proportion of only 1:1 (16).

### 2.5. Immunomodulatory action

Host innate and adaptive immune responses to pathogens play a role throughout the course of AURTIs. Overreaction of the host immune response may also lead to tissue damage and multi-organ injuries, which in turn may cause related diseases (4). CHM are able to optimize host-pathogen immune responses of patients with AURTIs. As an example, the supplement of Bu-Zhong-Yi-Qi-Tang (BZYQT) after intranasal vaccination against influenza increases the virus-specific IgA and IgG antibody titers in the nasal cavity and sera by enhancing upper respiratory mucosal and systemic immunity (17). Interferon- $\alpha$  (IFN- $\alpha$ ) increases in the BALF of mice. The stimulation

Table 1. The methodologies and findings of studies on CHM for treatment of AURTIs

Pharmacological effect	Name	Components	Materials	Methods	Mechanism of action
Anti-viral Viral attachment inhibitors	<i>Andrographis paniculata</i>	14-a-lipoyl andrographolide	MDCK cells, BALB/c mice	NR uptake assay, plaque reduction assay, MTT assay, IC <sub>50</sub> , HAI assay	Directly interferes with HA of influenza A binding to RBC surface receptors (72)
Viral entry/uncoating inhibitors	<i>Radix Isatidis</i>	Clemastanin B	MDCK, HEp-2, LLC-MK2, VERO-E6 and MRC-5 cells	MTT assay, plaque assay, TCID <sub>50</sub> , IC <sub>50</sub> , fluorescence microscopy, virus resistance assay	Targets influenza A endocytosis, uncoating, etc. (8)
Biological synthesis inhibitors	<i>Ephedrae herba</i>	Tannin	MDCK cells	Virus growth assay, vital fluorescence staining, MTT assay	Inhibitory effect on the acidification of endosomes and lysosomes, interferes with viral uncoating (73)
Biological synthesis inhibitors	<i>Cinnamomi cortex</i>	Trans-cinnamaldehyde	MDCK cells, ICR female mice	Virus growth assay, RT-PCR, MTT assay, protein synthesis assay	Inhibits viral protein synthesis at the post-transcriptional level (74)
Biological synthesis & assembly inhibitors	<i>Rhizoma Polygoni Cuspidati</i>	Resveratrol	MDCK and NCL-H292 cells, BALB/c mice	Immunoblotting, RT-PCR, glutathione assay, immune-fluorescence	Retains vRNPs of influenza A in cell nuclei and reduces expression of late viral proteins related to the inhibition of protein kinase C activity (75)
Assembly inhibitors	<i>Forsythia suspense</i>	Forsythoside A	MDCK cells, Human blood macrophages, BALB/c mice	HPLC, ICC, western blot, transmission electron microscopy	Suppresses the expression of M1 protein of influenza A (76)
Budding inhibitors	<i>Scutellaria baicalensis</i>	Baicalin, baicalin, chrysin and apigenin	MDCK cells	Plaque inhibition assay, fingerprint analysis, NA enzymatic activity, MTT assay, RT-PCR, molecular docking	NA specific inhibitor (6)
Anti-bacterial	<i>Lonicera japonica</i> <i>Radix Isatidis</i>	chlorogenic acid and phenolic acids Syringic acids, salicylic acid, and benzoic acid	Escherichia coli	UPLC-Q-TOF-MS/MS, HPLC-MSn, NA assay, fluorometric assay Microcalorimetry and molecular structure analysis	NA inhibitory activity (5) Syringic acid - potent antibacterial effect due to the different phenyl ring (77)
Anti-inflammatory	<i>Lonicera japonica</i> <i>Forsythia suspense</i> <i>Radix Bupleuri</i>	Phenolic compounds (3,5-bis-O-cafeyoyl quinic acid) Phillyrin Saikosaponin a and d	RAW264.7 murine macrophage cell line Male BALB/c mice, RAW264.7 murine macrophage cell line	MS analysis, chromatographic analysis, disk agar diffusion assay MTT assay, western blot, RT-PCR, Nitrite assay, BCA protein assay, ELISA, fluorescence microscopy MTT assay, Nitrite assay, western blot, RT-PCR, ELISA, Immunoblotting	Counters <i>S. aureus</i> and <i>E. coli</i> related to iron deprivation or hydrogen binding to vital proteins (9) Anti-inflammatory action by suppressing LPS-induced activation of JAK-STATs and p38 MAPKs signaling pathways and production of ROS in macrophage cells (78) Potent anti-inflammatory activity through inhibitory effects on NF-κB activation and thereby on iNOS, COX-2 and pro-inflammatory cytokines (79)

**Abbreviations:** HA: hemagglutination; HAI: hemagglutination inhibition, TCID<sub>50</sub>: 50% tissue culture infective dose; NR: neutral red; NA: neuraminidase; MTT: 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; IC<sub>50</sub>: median inhibitory concentration; MS: mass spectrometry; HPLC: high-performance liquid chromatography; GC: gas chromatography; EMSA: electrophoretic mobility shift assay; BCA: bicinchoninic acid; cAMP: cyclic adenosine monophosphate; AVP: arginine vasopressin; vRNPs: viral ribonucleoproteins; LPS: lipopolysaccharide; RANTES: regulated upon activation, normal T cell expressed and secreted; HUVEC: human umbilical vein endothelial cell; ELISA: enzyme-linked immunosorbent assay; RT-PCR: real time-PCR; ICC: immunocytochemistry; MDCK cells: monolayers of dog kidney cells; MIP-1: macrophage inflammatory protein-1; ROS: reactive oxygen species; iNOS: inducible nitric oxide synthase; COX-2: cyclooxygenase-2; MAPK: mitogen-activated protein kinases; PI3K: phosphatidylinositol 3 kinase; JAK: Janus kinases; STATs: signal transducer and activator of transcription proteins; *S. aureus*: *Staphylococcus aureus*; *E. coli*: *Escherichia coli*.

Table 1. The methodologies and findings of studies on CHM for treatment of AURTIs (continued)

Pharmacological effect	Name	Components	Materials	Methods	Mechanism of action
Anti-pyretic	<i>Radix Bupleuri</i>	Water extract, volatile oil, saponin	Male Wistar rats	Rectal temperature assay, ELISA	Adjusts synthesis and exudation of cAMP from the hypothalamus and AVP in the ventral septal area (15)
Immunomodulatory	<i>Andrographis paniculata</i> <i>Houttuynia cordata</i>	Andrographolide  Polysaccharides	Primary mouse peritoneal macrophages from C57BL/6 mice, female BALB/c mice  Human PBMCs	MTT assay, ELISA, western blot, flow cytometry, RT-PCR  BCA protein assay, FT-IR, <sup>1</sup> H and <sup>13</sup> C NMR spectroscopy, ELISA	Modulates innate and adaptive immune responses by regulating macrophage phenotypic polarization and antigen-specific antibody production via MAPK and PI3K signaling pathways (80)  Enhances innate immune responses through activation of TLR-4 on the cell membrane of dendritic cells and macrophages secreting IL- $\beta$ , MIP-1, and RANTES (81)

Abbreviations: HA: hemagglutination; HAI: hemagglutination inhibition, TCID<sub>50</sub>: 50% tissue culture infective dose; NR: neutral red; NA: neuramidase; MTT: 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; IC<sub>50</sub>: median inhibitory concentration; MS: mass spectrometry; HPLC: high-performance liquid chromatography; GC: gas chromatography; EMSA: electrophoretic mobility shift assay; BC A: bichrominic acid; cAMP: cyclic adenosine monophosphate; AVP: arginine vasopressin; vRNPs: viral ribonucleoproteins; LPS: lipopolysaccharide; RANTES: regulated upon activation, normal T cell expressed and secreted; HUVEC: human umbilical vein endothelial cell; ELISA: enzyme-linked immunosorbent assay; RT-PCR: real time-PCR; ICC: immunocytochemistry; MDCK cells: monolayers of dog kidney cells; MIP-1: macrophage inflammatory protein-1; ROS: reactive oxygen species; iNOS: inducible nitric oxide synthase; COX-2: cyclooxygenase-2; MAPK: mitogen-activated protein kinases; PI3K: phosphatidylinositol 3 kinase; JAK: Janus kinases; STATs: signal transducer and activator of transcription proteins; *S. aureus*: *Staphylococcus aureus*; *E. coli*: *Escherichia coli*.

of both IFN- $\alpha$  production and antibody responses are possible explanations for the effect of BZYQT on "cold syndrome" (17).

### 3. CHM in the treatment of AURTIs

#### 3.1. Single herbs

Frequently, the CHM that combat AURTIs are formulations rather than a single medicine, except for a few medicines such as *Andrographis paniculate*. According to a general search of a Chinese database, *Flos Lonicerae Japonicae*, *Scutellaria baicalensis*, *Houttuynia cordata*, *Flos Chrysanthemi*, *Patrinia Herbae*, *Forsythia suspense*, *Radix Isatidis*, *Radix Bupleuri*, *Ephedra Herbae*, *Radix Glycyrrhizae*, *Ziziphus jujube*, *Rhizoma Polygoni Cuspidati*, *Folium Mori*, *Radix Platycodon*, and *Radix Puerariae* are usually included in those formulations. A brief outline of the active component, pharmacological effect, and toxicity of the most commonly used single herbs is shown in Table 2

#### 3.2. CHM preparations

Single Chinese herbs have proven ability to combat AURTIs. The synergistic effect of different individual herbs in traditional herbal formulations further enhances bioavailability and counteracts drug toxicity when combined with "monarch," "minister," "assistant," and "guide" components. Today, traditional herbal formulations are transformed into Chinese medicines through use of modern advanced pharmaceutical technology. This review provides evidence of the efficacy and safety of typical preparations in managing AURTIs (Table 3).

##### 3.2.1. Ge-gen-tang

Ge-gen-tang (GGT) is a CHM formulation consisting of *Puerariae Radix* (Ge-gen), *Ephedrae Herba* (Ma-huang), *Cinnamomi Ramulus* (Gui-zhi), *Paeoniae Radix* (Bai-shao), *Glycyrrhizae Radix et Rhizoma preparata* (Zhi-gan-cao), *Zingiberis Rhizoma Recens* (Sheng-jiang), and *Jujubae Fructus* (Da-zao) (18). GGT is of a great value in treating the common cold, fever, influenza, and even diarrhea in eastern Asia.

##### 3.2.1.1. Clinical findings

A multicenter double-blind, parallel group, randomized controlled trial (RCT) of 240 patients with a cold in mainland China indicated that the GGT mixture is safe and efficacious (19). However, a study in Japan found that it did not significantly prevent the progression of cold symptoms (20). A point worth noting is that a study in Taiwan found that women who take GGT for relief

Table 2. The various active components, preparations, mechanism, and toxicity of single Chinese herbs to treat AURTIs

Single herb	Active component	Preparation	Mechanism of action	Toxicity
<i>Lonicera japonica</i> (82)	Organic acids (chlorogenic acid and caffeic acid), flavones (luteolin and hyperoside), essential oils, saponins, etc.	Shuang-huang-lian Oral Liquid, Yin-huang Granules, Yin-qiao-san, Xiao-yin-pian, Qin-re-je-du Oral Liquid	Anti-viral, anti-bacterial, anti-inflammatory, anti-pyretic, and anti-oxidative action	Contraceptive action in mice, dogs and monkeys; No oral acute and subacute toxicities in rats
<i>Andrographis paniculata</i> (83)	Diterpenoids (deoxyandrographolide, andrographolide and neoandrographolide), flavonoids, xanthones, etc.	Kan Jang, KalmCold, Andrographis paniculata Tablet, Andrographolide sodium bisulfite Injection	Anti-viral, anti-bacterial, anti-inflammatory, and immunomodulatory action	Possible contraceptive action but still controversial; oral acute toxicity > 17 g/kg, LD <sub>50</sub> ; testicular toxicity > 1 g/kg, LD <sub>50</sub> ; genotoxicity: 5 g/kg, LD <sub>50</sub>
<i>Radix Scutellariae</i> (84)	Flavonoids (baicalin, wogonin, wogonoside, baicalein and oroxylin A), etc.	Shuang-huang-lian Oral Liquid, Compound Houttuynia cordata Granules, Compound Honeysockle Granules	Anti-viral, anti-allergy, anti-inflammatory, anti-oxidative, and immunomodulatory action	Not toxic to normal cells in animals and humans but toxic to malignant cells
<i>Houttuynia cordata</i> (85)	Flavonoids (quercitrin, hyperoside and afzelin), alkaloids, volatile oils, organic acids (chlorogenic acid), etc.	Yu Jin Injection, Compound Houttuynia cordata Granules	Anti-viral, anti-bacterial, anti-inflammatory, anti-pyretic, anti-allergy, anti-oxidative, and immunomodulatory action	Not toxic <i>in vitro</i> or <i>in vivo</i>
<i>Forsythia suspense</i> (12)	Lignans (phillyrin), phenylethanoid glycosides (forsythiaside), flavonoids (rutin, quercetin and forsythoneoside), etc.	Yin-qiao-san, Lian-qiao Drink, Ling-qiao-jie-du Pillule, Shuang-huang-lian Oral Liquid, Vitamin C Yin-qiao Tablets	Anti-viral, anti-bacterial, anti-inflammatory, anti-oxidative, and anti-allergy action	Forsythiaside is cytotoxic and has acute toxicity in mice
<i>Herba Ephedra</i> (86)	Alkaloids (ephedrine), tannin, flavanols, flavones, carboxylic acids, volatile terpenes, etc.	Ma-huang-tang, Ma-xing-shi-gan-tang, Xiao-qing-long-tang	Anti-viral, anti-bacterial, anti-inflammatory, anti-pyretic, and antitussive action	Activation of sympathetic and central nervous systems (insomnia, palpitation, rapid pulse, elevation of blood pressure, and dysuria) and hepatotoxicity
<i>Rhizoma Polygoni Cuspidati</i> (87)	Quinones (emodin), stilbenes (resveratrol and polydatin), flavonoids (rutin and quercetin), etc.	Shu-feng-je-du Capsules, Re-yan-ning Granules	Anti-viral, anti-bacterial, anti-inflammatory, anti-oxidative, cardioprotective, and estrogenic action	Contraindicated for pregnant women; Lack of relative systematic toxicity and safety evaluation but few evaluations of adverse reactions (no systemic anaphylaxis or passive skin allergy (5.6 mg/kg) in rabbits)

Table 3. The use of CHM in AURTIs on the basis of clinical findings

Group	Sample Size		Patients characteristics		Evaluation index	Outcome	Adverse events	
	T	C	T	C			T	C
MXSGT+ symptomatic treatments (54)	55	45	Pregnancy flu Gestational week: < 28 w Age: 25.22 ± 3.88 y		Duration of fever, symptom alleviation time, threatened abortion rate, maternal delivery, fetal development	MXSGT helps reduce the duration of fever, alleviate the duration of symptoms, and reduce the duration of hospitalization with no adverse reactions in pregnant women.	None	None
Shu-feng-jie-du Capsules (88)	120	120	AURTIs ("wind-heat syndrome") M/F: 45/75 Age: 34.83 ± 10.95 y		Total scores of diseases, antifebrile effective rate, TCM symptom scores before and after treatment, cure rate, total effective rate, laboratory indexes	SFJDC is efficacious in treating AURTIs with "wind-heat syndrome."	None	None
Re-du-ning Injection (49)	24	22	Pandemic influenza M/F: 12/12 Age: 37.9 ± 13.9 y		The median fever alleviation time, clearance time and total scores of influenza symptoms	RDN Injections have a similar effect to oseltamivir in reducing the duration of influenza illness.	Transfusion reaction (1), blood leukocyte (1)	Blood leukocyte (1)
Lian-hua-qing-wen Capsules (45)	122	122	AURTIs ("wind-cold syndrome") M/F: 64/58 Age: 21.5 ± 5.9 y		The median duration of viral shedding, symptom scores, individual symptom alleviation time, the duration of illness, the defervescence time	Compared to oseltamivir, LHQWC has a similar therapeutic effectiveness in terms of reducing the duration of illness and the duration of viral shedding.	No	Nausea and vomiting (4)
Ban-lan-gen Granules + oseltamivir (7)	128	107	Influenza A (H1N1) M/F: 91/37 Age: 18-45 y		The temperature period, clinical symptoms, blood routine, viral test and length of stay	Oseltamivir phosphate combined with Ban-lan-gen granules performs better than oseltamivir phosphate alone in the treatment of influenza A (H1N1).	Nausea and vomiting (2), diarrhea (1), dizziness (1), rash (1)	Nausea and vomiting (2), diarrhea (2), headache (1), rash (1)
Andrographis paniculata (KalmCold) (89)	112	110	Uncomplicated URTIs M/F: 5/37; Age: 34.36 ± 0.97 y		Severity of 9 symptoms of common cold and overall symptoms (VAS, 0 ± 100)	KalmCold™ treatment significantly decreases all symptom scores except for earache whereas in the placebo group the symptoms are either unchanged or exacerbated after day 3.	Diarrhea (3), vomiting (1), epistaxis (1), urticaria (1)	Diarrhea (1), vomiting (1), moderate rigor (1)
Zheng-chai-hu Granules (90)	115	115	Exogenous fever ("wind-cold syndrome") M/F: 83/32 Age: 35 ± 9 y		Cure rate, symptoms scores, total effective rate	Zheng Chai-hu group has better improvement of chief symptoms (fever, cough, sore throat etc.) than Qing-re-ling group.	None	None

Abbreviations: AURTIs: acute upper respiratory tract infections; TCM: traditional Chinese medicine; T: Treatment group; C: Control group; M/F: male/female.

of respiratory discomfort are unexpectedly exposed to phytoestrogen generated by *Ge gen* (21). Nearly 5% of female users of Chinese medicines consumed cumulative doses of *Ge gen* above 60 g (21). Since little is known about the action of phytoestrogenic herbs in female patients, physicians need to be aware of threats to the female endocrine system when prescribing such herbs.

### 3.2.1.2. Mechanism of action

GGT stimulates the airway epithelium to secrete IFN- $\beta$  to counteract a viral infection before and after that infection, but it is more effective before infection (22). It disrupts influenza virus replication by directly blocking the virus-induced phosphatidylinositol 3-kinase (PI3K)/Akt signaling pathway, which in turn causes retention of vRNPs in the nucleus (23). Promotion of the phagocytic activity of macrophages and an increase in the level of IL-12 in BALF contribute to a reduction in the virus yields as well (24).

### 3.2.2. Shuang-huang-lian

The Shuang-huang-lian (SHL) formulation, which consists of *Lonicera japonica* (Jin-yin-hua), *Scutellaria baicalensis* (Huang-qin), and *Forsythia suspense* (Lian-qiao), generally has a satisfactory curative effect on AURTIs (25) and influenza (26) according to a large amount of laboratory and clinical data.

#### 3.2.2.1. Clinical findings

A systematic review that evaluated the SHL injection (SHLI) in treating AURTIs concluded that it had potentially reduced the course of disease and relieved some cold symptoms like a fever and coughing (25). However, the poor methodology of the reviewed studies and poor reporting preclude reaching any definite conclusions on its clinical effectiveness. In another systematic review in 2016 that involved 21 studies (2,914 participants), meta-analysis revealed that SHLI was more efficacious at treating AURTIs than Western medicines (27).

#### 3.2.2.2. Mechanism of action

SHL is reported as an effective agent against adenovirus and influenza virus (26). Human adenovirus III (HAdV3), a common pathogen causing AURTIs, is inhibited by SHL in a dose- and time-dependent manner, from viral attachment and internalization to replication, and is accompanied by a high level of IFN- $\alpha$  expression (28). In addition, SHL effectively attenuates lipopolysaccharide (LPS)-induced secretion of proinflammatory cytokines and oxidative stress by suppressing the p38- and ERK1/2-mediated AP-1

pathway in alveolar macrophages. Together, the reduction in neutrophil infiltration and excessive levels of inflammatory mediators alleviate pulmonary cellular injury (29). Screening of SHL *in vitro* revealed that chlorogenic acid is the main bioactive ingredient that specifically binds to  $\beta$ 2-adrenoceptor (30), which participates in smooth muscle relaxation and bronchodilation.

### 3.2.3. Ma-xing-shi-gan-tang

Ma-xing-shi-gan-tang (MXSGT) is a decoction consisting of *Herba Ephedra* (Ma-huang), *Semen Armeniacae Amarum* (Ku-xin-ren), *Radix Glycyrrhizae* (Gan-cao), and *Gypsum Fibrosum* (Shi-gao). Although MXSGT and Ma-huang-tang (MHT) differ in only one herb, both are conventional formulations for sweating, asthma, and febrile diseases such as influenza-like illness (31,32). The corresponding "syndromes" (exogenous diseases) they treat according to traditional Chinese medicine (TCM) differ substantially.

#### 3.2.3.1. Clinical findings

In an RCT involving 410 patients in 11 hospitals in 4 provinces of China, oseltamivir and MXSGT - Yin-qiao-san (MXSGT-YQS), alone and in combination, reduced the duration of fever and effectively lowered severity scores for other symptoms in patients with an H1N1 influenza infection (33). Another RCT involving 100 patients was conducted to observe the effect of MXSGT in treating influenza during pregnancy (34). The control group ( $n = 55$ ) received symptomatic treatment and/or intravenous penicillin ( $8 \times 10^6$  U) for 3 days if infected. The treatment group ( $n = 45$ ) received MXSGT in addition to the same treatment as that of the control group. Flu therapy was more efficacious when combined with MXSGT, and the treatment group also had a lower threatened abortion rate than that of the control group. Follow-up of the treatment group revealed no adverse pregnancy outcomes while results for the control group were lost. Although there were significant differences between the two groups, this RCT did not constitute sufficient evidence.

#### 3.2.3.2. Mechanism of action

MXSGT acts in several ways against human influenza A viruses *in vitro* by: 1) reducing viral uptake through damage of the viral ultrastructure; 2) inhibiting viral entry by inactivation of the PI3K/Akt signaling pathway; and 3) impairing the synthesis of both viral RNA and protein (35). Its extract relieves hyperthermic syndrome in rats *via* the synergic effects of *Gypsum* and *Ephedra* and through the modulation of PGE<sub>2</sub> synthesis in the hypothalamus-adrenal gland axis (36). Early on, MXSGT has anti-inflammatory action by

inhibiting neutrophil infiltration into the airway. It further attenuates lung microvascular hyperpermeability and decreases the number of leukocytes adhering to lung venules (37). Moreover, the antitussive action of MXSGT is dose-dependent property; it suppresses bronchial contractions induced by acetylcholine/histamine (36).

#### 3.2.4. *Shu-feng-jie-du Capsules*

Shu-feng-jie-du Capsules (SFJDC) have been extensively used to treat AURTIs and acute lung injury (ALI) for over 30 years in China. The capsules consist of 8 herbs: *Rhizoma Polygoni Cuspidati* (Hu-zhang), *Fructus Forsythiae* (Lian-qiao), *Radix Isatidis* (Ban-lan-gen), *Radix Bupleuri* (Chai-hu), *Herba Patriniae* (Bai-jiang-cao), *Herba Verbena* (Ma-bian-cao), *Rhizoma Phragmitis* (Lu-gen), and *Radix Glycyrrhizae* (Gan-cao) (38).

##### 3.2.4.1. *Clinical findings*

A multicenter, open-label phase IV clinical trial on SFJDC found that they were efficacious in treating AURTIs ("wind-heat syndrome"), with 0.03% of patients suffering an adverse drug reaction (ADR) (39). After 3 days of treatment, the cure rate was 40.23% and the total efficacy was 87.40%. The median time for onset and duration of defervescence were 4.50 hours and 20.00 hours, respectively (39). According to an RCT, SFJDC alleviate acute suppurative tonsillitis, with a shorter duration of fever and pharyngeal purulence, greater shrinkage of the tonsils, and greater safety (40).

##### 3.2.4.2. *Mechanism of action*

*In vitro*, SFJDC repress H1N1 (41), respiratory syncytial virus (RSV), coxsackie virus B3 (CoxB3), and herpes simplex virus 1 (HSV-1); SFJDC are less potent than ribavirin but also less cytotoxic (42). SFJDC are far more potent than Qing-kai-ling granules but weaker than ceftriaxone sodium injection in fighting 6 different bacteria (42). SFJDC protect against ALI by suppressing the MAPK/NF- $\kappa$ B pathway *in vivo* (43). Proteomic analysis of a rat model of LPS-induced ALI revealed that the anti-inflammatory and immunomodulatory actions of SFJDC are the results of action on the core regulator protein AKT1 in five respects: 1) NF- $\kappa$ B/Nrf2 in the oxidative stress response; 2) the MAPK (ERK1/2 and JNK) signaling pathway; 3) p53/Bcl-2/Caspase in apoptosis; 4) AKT1/SOCS1 in negative feedback to TLR4 signaling; 5) and AKT/mTOR signaling (38).

##### 3.2.5. *Other preparations*

A number of new compound preparations that are

derived from traditional formulations have come onto the market. Lian-hua-qing-wen Capsules (LHQWC) are an example that was developed from two conventional CHM formulae - MXSGT and YQS. LHQWC provide broad-spectrum protection against influenza viruses by restricting viral proliferation in the early stages, with an  $IC_{50}$  ranging from 0.35 mg/mL to 2 mg/mL, and by efficiently blocking the nuclear export of vRNP (44). In addition, a virus-induced inflammatory reaction is alleviated by suppression of the NF- $\kappa$ B signaling pathway in a dose-dependent manner (44). In a double-blind RCT involving 244 patients with influenza A, LHQWC performed similar to oseltamivir in reducing the duration of illness and viral shedding and in relieving the severity of illness and symptoms including fever, cough, sore throat, and fatigue (45).

Re-du-ning injection (RDNI) is another modern patent CHM prepared with an extract purified from *Artemisia annua* (Qing-hao), *Gardenia jasminoides Ellis* (Zhi-zi), and *Flos Lonicerae* (Jin-yin-hua). Previous studies indicated that RDNI inhibits human rhinovirus *in vitro* in three ways: inactivating the virus, interfering with viral proliferation, and blocking the interaction of infected cells (46). In addition to regulation of the host defense system (47), it has antipyretic action by reducing cAMP in the hypothalamus and MPO in the lungs of mice (48). Moreover, it shortens the clinical course of influenza, it alleviates fever, it reduces fever clearance time, and it alleviates all influenza symptoms (49). An RDNI infusion may be an effective alternative for treatment of influenza in adults, possibly with fewer adverse effects than oseltamivir (49). However, the clinical use of RDNI needs to be monitored to preclude off-label use, especially overdosing, improper dilution and over-indication (50).

## 4. Adverse reactions and reproductive safety

### 4.1. *General adverse reactions to CHM*

The reasons why adverse reactions to CHM occur vary from inherent herbal toxicity, excessive doses of herbs, drug-herb interactions, anaphylaxis to co-existing diseases (51). Surprisingly, allergic reactions (skin itch, dermatitis and anaphylactic shock) occur in most cases of adverse reactions to CHM (52). ADR reports that are related to CHM injections account for > 50% of all ADR reports related to CHM, and the percentage has been rising annually (53). Considerable attention is paid to the hepatic damage caused by CHM, but different organs may be affected (Tables 2 and 3). Some herbs may have no direct toxicity but cause harmful drug interactions, which are mainly associated with cytochrome P450(CYP)-linked drug metabolism. If CHM are used with other drugs, their curative effect might be hampered or their toxicity increased, or both.

One herb can sometimes have multiple impacts in terms of adverse reactions. As an example, oral intake of andrographolide extracted from *Andrographis paniculata* likely leads to reduced metabolic activity of intestinal CYP3A4 (54) and induces nephrotoxicity associated with activation of oxidative and endoplasmic reticulum stress (55).

#### 4.2. Reproductive safety of CHM

In addition to considering general adverse reactions, more attention needs to be paid to the reproductive safety of pregnant women. There is no doubt that pregnancy is a dynamic process for both the mother and fetus. This complicated situation requires the consideration of the continued health of the mother, embryo-fetal development, and prenatal growth during different gestational stages. The placenta, a key avenue for the maternal-fetal transfer of substances, has alterations in transport proteins (56) and expression of biotransformation enzymes (57). Consequently, drug permeability, metabolism, and clearance differ from the early to late period (58). As an example, chemicals like chlorinated insecticides, probably used to farm herbs, affect oxytocin, testosterone, oestradiol, and prostaglandin secretion of ovarian and uterine cells, interfering with fertilization as well as with myometrial contractions (59). Accordingly, the reproductive organs could be affected by contaminated CHM.

Temporal variations in pharmacokinetics (PK) and pharmacodynamics (PD) during gestation are not limited to the reproductive system. A previous study found that gestation influences the systematic PK profile of certain Chinese herbs such as *Puerariae Radix* (puerarin), with different levels during different stages in pregnant rats (60). Dynamic changes in CYP-mediated drug metabolism in the body of pregnant women have also been reported (61). Much more should be known about the reproductive safety of CHM. This can be achieved by monitoring the magnitude of variations in physiologic and morphological parameters of the reproductive system and all relevant changes related to drug therapy during pregnancy that may affect maternal-fetal health.

#### 4.3. Status of research

In fact, most clinical studies of CHM preparations for treatment of AURTIs exclude pregnant women, who are not often exposed to the uncertain safety risks of medicines in clinical trials in order to avoid further complications. As a consequence, there is a lack convincing clinical evidence of the reproductive safety of many CHM preparations. There are only a few studies of clinical outcomes of use of single herbs and preparations by pregnant women (34) and studies of reproductive toxicity (62).

As an example, no study has examined the toxicity of a daily dose (6-15 g) of *Forsythiae Fructus* thus far (Pharmacopoeia Commission of the PRC, 2015). Administration of forsythoside gelsiccation powder to SD rats yielded negative results in terms of teratogenicity (63). However, one of the active constituents of *Forsythiae Fructus*, forsythiaside, caused acute toxicity in mice ( $IC_{50} = 1.98 \text{ g/kg}$ ) (12).

The metabolic process of a single herb and be determined and its target organ can be identified, but studying adverse reactions to preparations is much more difficult due to the multiple components and targets involved. Preparations intensify or diminish the toxicity of single herbs. According to a cytotoxicity assay, SHL is slightly less toxic than a mixture of its active ingredients - chlorogenic acid, baicalin, and forsythia glycosides A (28).

Adverse reactions to SHL have often been studied. Of the different dosage forms such as oral liquids, granules, and injections, SHLI caused most cases of adverse reactions (64). According to a systematic review (64), ADRs caused by SHLI are mainly skin allergic reactions and gastrointestinal reactions. Involved systems or organs are, in decreasing order: the skin, the digestive system, general reactions, the respiratory system, and other systems or organs. In addition, there is an increased risk of ADRs induced by combining SHLI and other drugs, and especially antibiotics. Nearly all cases of death were caused by anaphylactic shock (65) induced by C5 activation and the subsequent release of histamine, which is largely due to chlorogenic acid (66).

In 2018, China's State Food and Drug Administration announced the prohibition of the use of SHLI by children under 4 years of age and pregnant women, but instructions on other dosage forms still indicate that use of SHLI by pregnant or nursing women should be under a physician's direction. Clearly, in-depth studies on the reproductive safety of SHL need to continue in light of previous studies. In addition to its indication for AURTIs, one herb in SHL, *Radix Scutellariae*, is listed as an herb for prevention of miscarriage. Baicalin, one of its active components, has anti-abortifacient action (67), and it reverses trophoblast apoptosis in the treatment of preeclampsia (68). However, several individual cases of hepatotoxicity after taking *Radix Scutellariae* have been reported (69). Moreover, a study of SHL as a frozen powder provided evidence that baicalein and baicalin exchange can occur in the maternal-fetal interface but that it does not affect the contractile activity of human uterine smooth muscle strips *in vitro* (70). A later study indicated weak toxicity to the maternal side of the placental barrier with no toxicity to the fetal side *in vitro* (71). Although the toxicological and protective roles of the placental barrier with respect to drug detoxification and transporter-controlled protection of the fetus have been

intensively examined (57), little work has been done to elucidate the detailed mechanism at the molecular biological level.

## 5. Conclusion

There is no doubt that CHM play important roles in treating AURTIs by controlling and preventing those infections, limiting the economic burden in comparison to oseltamivir, and benefiting patients with poor tolerance to Western medicines in clinical practice. More advanced technology and strategies allow identification of active CHM and CHM-derived compounds from a vast body of traditional CHM *via* high-throughput screening, and they allow determination of the mechanisms by which CHM treat AURTIs (4). Nonetheless, some problems still limit the use of CHM to treat AURTIs, such as inconsistent clinical efficacy and the insufficient evaluation of safety.

Since there are still many herbs and preparations for treatment of AURTIs with either contradictory results or no systematic evaluation of efficacy and safety, and especially reproductive safety, attention must be paid to conducting more well-designed clinical trials and studies to help avoid the misuse of CHM. Although some single herbs or formulations are cited as suitable for pregnant women, more reliable evidence combining preclinical and clinical data should be obtained. In-depth research at the molecular level is needed to reveal underlying pharmacological mechanisms of efficacy and reproductive safety. Moreover, the range of teratogenicity testing should be extended to fertility, sexual maturity, and even organ changes in pregnancy.

Patients may have reduced tolerance and, therefore, more toxicity studies should be conducted on both normal and ill subjects. Given differences between animals and humans, more clinical studies on the safety of CHM during pregnancy are required before CHM can ultimately be used clinically. These efforts may help to formulate strategies to prevent ADRs by adding antagonist drugs or by optimizing the dose, thus minimize risk and maximizing benefit for the mother and fetus in clinical settings.

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